

AP20 Rec'd PCT/PTO 21 APR 2006

## PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

TERRY VICTOR CLAPP

Serial No.: Unknown

Filed: Concurrently Herewith

For: METHOD AND APPARATUS FOR  
TRANSFORMING OPTICAL WAVE MODES

Group Art Unit: Unknown

Examiner: Unknown

Attorney Docket: 2143.000400/KDG  
(SKG/G21945US)

CUSTOMER NO. 23720

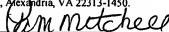
INFORMATION DISCLOSURE STATEMENT

## EXPRESS MAIL MAILING LABEL

NUMBER: EV 511169615 US

DATE OF DEPOSIT: APRIL 21, 2006

I hereby certify that this paper or fee is being deposited with the United States Postal Service with sufficient postage "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.



Pam Mitchell

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed foreign patent documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner. Because the filing date of the present application is after June 30, 2003, a copy of the listed U.S. patent application publication is not included.

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APR 20 2006  
APR 21 2006

In accordance with 37 C.F.R. §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The present Information Disclosure Statement is being filed within three months of the filing date of this patent application or prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement; however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Director is hereby authorized to deduct said fees from Williams, Morgan & Amerson, P.C., Deposit Account No. 50-0786/2143.000400KG.

Applicant respectfully requests that the listed documents be made of record in the present case.

Respectfully submitted,

WILLIAMS, MORGAN & AMERSON, P.C.  
CUSTOMER NO. 23720

April 21, 2006



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Form PTO-1449 (modified)

Atty. Docket No.  
2143.000400/KDG

10576596  
Serial No.  
Unknown

List of Patents and Publications for Applicant's

Applicant  
Terry Victor Clapp

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Filing Date:  
Concurrently Herewith

Group:  
Unknown

U.S. Patent Documents  
See Page 1

Foreign Patent Documents  
See Page 1

Other Art  
See Page 1

U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
		2003/0039447	02/27/2003	Clapp	385	40	11/06/2001

Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
		WO03/003420	01/09/2003	PCT			
		WO85/04521	10/10/1985	PCT			
		2 306 694	05/07/1997	UK			

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
		PCT/GB2004/004420 International Search Report (January 27, 2005)

EXAMINER: /Mary El Shammaa/

DATE CONSIDERED: 05/02/2008

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.